



Illinois Department of Insurance

January 2025

Health Care/Workers' Compensation Utilization Review Initial/Renewal Registration Form

1. Name of Applicant _____

Type of Application (check one):

Corporation

Partnership

Limited Liability Corporation

Other (Describe) _____

FEIN _____

Contact Person _____

Business Telephone Number (____) _____

Fax Number (____) _____

Email Address _____

2. Type of Utilization Review Organization (check **all** that apply):

Health Care Utilization Review (as defined in 50 Ill. Adm. Code 4520.30)

Workers' Compensation Utilization Review (as defined in 50 Ill. Adm. Code 2905.10)

Check **all** categories that apply (as applicable)

Licensed HMO providing utilization review services outside of the HMO

Licensed HMO providing utilization review services only within that HMO

Third Party Administrator

Licensed Insurance Company providing utilization review services outside of that Insurance Company

Licensed Insurance Company providing utilization review services only within that Insurance Company

Hospital or Medical Group providing utilization review services for other than internal purposes

Workers' Compensation Utilization Review Organization

Other (Describe)

3. Business Address

Street (do not use P.O. Box) _____

City _____ State _____ Zip _____ - _____

4. Mailing Address

Street or P.O. Box _____

City _____ State _____ Zip _____ - _____

5. Business Telephone Number (____) _____

Toll Free Number () _____
Fax Number () _____
Email Address/Website _____

6. Agent for Service of Process **in Illinois**

Name _____
Street (do not use P.O. Box) _____
City _____ State _____ Zip _____ - _____

7. For each Utilization Review Program supply the following information:

- a) The name, address, telephone number and normal business hours of the utilization review programs.
- b) The organization and governing structure of the utilization review programs.
- c) The number of reviews in Illinois for which utilization review is conducted by each utilization review program for the current year.

Health Reviews

Workers' Compensation Reviews

- d) Number of reviews in Illinois for which utilization review was conducted for the previous calendar year for each utilization review program.

☐ Health Reviews

Workers' Compensation Reviews

- e) Description of the grievance process for each utilization review program.
- f) Please check (all that apply) to determine if you are using the Health Standards and/or the Workers' Compensation Standards in order to meet or exceed American Accreditation Healthcare Commission (URAC) Standards and provide the Department with a copy of your current certificates.

Health Utilization Standards

Workers' Compensation Standards

- g) Written policies and procedures for protection of confidential information according to applicable State and Federal laws for each utilization review program.
- h) Biographical information for organization officers and directors. The biographical affidavits shall include, but not be limited to, the following information: affiant's identifying and contact information; affiant's educational, residential and employment history; affiant's professional, business and technical licenses and memberships; a complete history of affiant's fidelity bonding; affiant's criminal charges and convictions; civil, regulatory, administrative and disciplinary actions in affiant's individual or corporate capacity; a complete history of affiant's bankruptcy, insolvency, liens and foreclosures in an individual or corporate capacity; affiant's consent to release background reports to the Department and consent for third parties

to cooperate in the gathering of background information; and affiant's and affiant's immediate family's equity holdings in any entity subject to insurance regulation. The Department will accept the biographical affidavit, and any supplement to that affidavit, that is obtained from the website of the NAIC or the Department. Biographical affidavits shall be stamped "confidential" by the utilization review organization.

8. Indicate accreditation status below:

a) Accredited by/initiated by:

URAC

NCQA (National Committee for Quality Assurance)

JCAHO (The Joint Commission)

AAAHHC (Accreditation Association for Ambulatory Health Care)

b) Workers' compensation accredited under:

URAC Workers' Compensation Standards

URAC Health Standards

c) Accreditation has been initiated with one of the entities identified above.

9. EFT Enclosed

a) \$1500 Accredited by URAC, JCAHO, NCQA, or AAAHC (Health Care Only)

I, _____ do hereby certify that

(Typed name, title)

(Utilization Review Organization)

Provides evidence of accreditation for itself and its subcontractors per 215 ILCS 134/85 or submits sufficient documentation demonstrating the accreditation process has been initiated and is currently underway with one of the aforementioned accrediting entities.

(Signature)

(Date)

All utilization review applications for initial and renewal registrations must be filed through the System of Electronic Form Filings (SERFF). All registration fees must be paid through electronic fund transfers in SERFF. To set up a SERFF account, please refer to Company Bulletin 2020-07.